

12-11-01

PATENT *Seal*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SULL, et al.

Serial Number:

09/911,293 ✓

Filed:

JULY 23, 2001

Title: "SYSTEM AND METHOD FOR INDEXING,
SEARCHING, IDENTIFYING AND EDITING
PORTIONS OF ELECTRONIC MULTIMEDIA
FILES"

§
§
§
§
§
§
§
§
§
§

Group Art Unit:

2176

Examiner:

To be Assigned

Atty. Docket No.

069234.0109

BOX MISSING PARTS

Honorable Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING VIA EXPRESS MAIL
37 C.F.R. 1.10

I HEREBY CERTIFY THAT I HAVE A REASONABLE BASIS FOR BELIEF THAT
THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS EXPRESS MAIL, POST OFFICE TO ADDRESSEE, ON
THE DATE INDICATED BELOW, AND IS ADDRESSED TO:

HONORABLE COMMISSIONER FOR PATENTS
BOX MISSING PARTS
WASHINGTON, D.C. 20231

PAUL N. KATZ

REG. NO. 35,917

DATE OF MAILING:

NOVEMBER 5, 2001

EXPRESS MAIL LABEL:

EL905244154US

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION, MAILED SEPTEMBER 4, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application for the
application referenced above, mailed September 4, 2001, Applicants respectfully submit herewith
those items listed below.

Enclosed with this response are:

A copy of the Notice to File Missing Parts of Non-Provisional Application;
Fee Transmittal Form, with duplicate copy for fee processing;

Check #758198 in the amount of \$1,506.00 for:

Filing Fee:	\$ 370.00
Sixty-three (63) total claims over 20, at \$18.00 each:	\$ 567.00
Twelve (12) independent claims over 3, at \$84.00 each:	\$ 504.00
Surcharge for late filing fee, per 37 C.F.R. 1.16(e):	<u>\$ 65.00;</u>
	\$1,506.00

Executed Declaration;

Power of Attorney;

Small Entity Assertion Statement;

Return postcard to acknowledge receipt of these items.

As the two-month shortened statutory time for response was due November 4, 2001, a Sunday, this response is being filed on the first business day following, Monday, November 5, 2001, and therefore is considered timely filed.

The Commissioner is hereby authorized to debit Baker Botts L.L.P. (*formerly Baker & Botts, L.L.P.*) Deposit Account Number 02-0383, Order Number 069234.0109, for any underpayment of fees, or to credit same with any overpayment of fees, which may arise in association with this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.

DATE: November 5, 2001

BY: Paul N. Katz

Paul N. Katz

Registration No. 35,917

One Shell Plaza

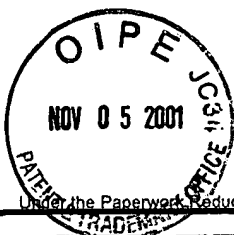
910 Louisiana Street

Houston, Texas 77002-4995

Telephone: 713.229.1343

Facsimile: 713.229.7743

ATTORNEY FOR APPLICANTS



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 3,012.00

Complete if Known

Application Number	09/911,293
Filing Date	JULY 23, 2001
First Named Inventor	SULL, et al.
Examiner Name	UNASSIGNED
Group Art Unit	2176
Attorney Docket No.	069234.0109

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-0383
Deposit Account Name: BAKER BOTTS L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims: 83
Independent Claims: 15
Multiple Dependent:
Extra Claims: 20** = 63
Fee from below: 9.00
Fee Paid: 567.00
Extra Claims: 3** = 12
Fee Paid: 504.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 1,071.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
SUBTOTAL (3) (\$) 65.00			

*Reduced by Basic Filing Fee Paid

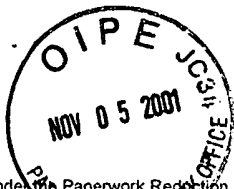
SUBMITTED BY

Name (Print/Type)	PAUL N. KATZ	Registration No. (Attorney/Agent)	35,917	Complete (if applicable)	Telephone	713.229.1343
Signature	Paul N. Katz	Date	NOVEMBER 5, 2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXPRESS MAIL:
EL905244154US



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 3,012.00

Complete if Known

Application Number 09/911,293
Filing Date JULY 23, 2001
First Named Inventor SULL, et al.
Examiner Name UNASSIGNED
Group Art Unit 2176
Attorney Docket No. 069234.0109

COPY

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 02-0383
Deposit Account Name BAKER BOTTS L.L.P.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims 83
Independent Claims 15
Multiple Dependent

Extra Claims Fee from below Fee Paid
20** = 63 x 9.00 = 567.00
3** = 12 x 42.00 = 504.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 1,071.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

SUBMITTED BY

Name (Print/Type)	PAUL N. KATZ	Registration No. (Attorney/Agent)	35,917	Telephone	713.229.1343
Signature	Paul N. Katz	Date	NOVEMBER 5, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXPRESS MAIL:
EL905244154US

#3



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,293	07/23/2001	Sanghoon Sull	069234.0109

CONFIRMATION NO. 2894

FORMALITIES LETTER



OC000000006510858

Ronald L. Chichester
Baker Botts L.L.P.
One Shell Plaza
910 Louisiana Street
Houston, TX 77002-4995

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2094.
 - \$1134 for 63 total claims over 20.
 - \$960 for 12 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2934.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/13/2001 EABUBAK1 00000002 09911293 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:201	370.00 OP
02 FC:202	504.00 OP
03 FC:203	567.00 OP
04 FC:205	65.00 OP

EXPRESS MAIL:
EL905244154US